SEC Form 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					010	Section 30(11) of th	c mvcou		ompany Act	01 1040									
						suer Name and Ti ERIT MEDIC		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)											
LAMP	KUPUU	LUS FRED I	_		1					<u> </u>		Х	Directo	r		10% Ov	wner		
(Last) (First) (Middle)								X	Officer (give title below)			Other (specify below)							
1600 WEST MERIT PARKWAY						ate of Earliest Trar	nsaction	(Montl	n/Day/Year)				PR	ESIDEN	T AN	D CEO			
						14/2023													
(Street)						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable					
SOUTH UT 84095											'	X	ine) X Form filed by One Reporting Person						
JORDAI	N		-									Form filed by More than One Reporting Person							
(City)		(State)	(Zip)																
		Т	able I - N	on-Der	vative	Securities A	cquire	d, Di	isposed o	f, or Be	enefici	ally	Owned						
1. Title of	1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code 8)		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a			5)	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amount	(A) or (D)	Price		Transact (Instr. 3 a	ion(s)			(1150. 4)		
Common	Stock, No	Par Value		03/14	/2023		S		5,278	D	\$67.6	56 ⁽¹⁾	987	,615		D			
Common	Stock, No	Par Value		03/14	/2023		S		19,699	D	\$68.4	28(2)	967	,916		D			
Common	Stock, No	9 Par Value											97,	077		I	By 401(k) Plan ⁽³⁾		
Common Stock, No Par Value												6,8	388			By spouse			
Common Stock, No Par Value													9	90		I	By spouse as custodian for child		
			Table II			Securities Aco calls, warrant	•	·	• •				wned						
1. Title of Derivative	2. Conversior	3. Transaction Date	3A. Deem Execution		4. Transact		6. Date Exercisable and Expiration Date 7. Title and Amo						3. Price of Derivative	9. Numbe derivative		10. Ownership	11. Nature of Indirect		

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	8) Secu Acqu (A) or Dispo of (D) (Instr		of Expiration Date Derivative (Month/Day/Year Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		o of Securities ar) Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- qualified stock options (right to buy)	\$28.2							04/14/2018 ⁽⁴⁾	04/14/2024	Common Stock	200,000		200,000	D	
Non- qualified stock options (right to buy)	\$28.2							04/14/2018 ⁽⁵⁾	04/14/2024	Common Stock	6,000		6,000	I	By spouse ⁽⁶⁾
Non- qualified stock options (right to buy)	\$44.8							03/02/2019 ⁽⁷⁾	03/02/2025	Common Stock	38,002		38,002	D	
Non- qualified stock options (right to buy)	\$44.8							03/02/2019 ⁽⁸⁾	03/02/2025	Common Stock	4,000		4,000	I	By spouse ⁽⁶⁾
Non- qualified stock options (right to buy)	\$55.73							03/01/2020 ⁽⁹⁾	03/01/2026	Common Stock	159,151		159,151	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	or osed) r. 3, 4	6. Date Exercis Expiration Date (Month/Day/Yes	9	7. Title an of Securit Underlyin Derivative (Instr. 3 an	ies g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Expiration) Exercisable Date		Title	Amount or Number of Shares				
Non- qualified stock options (right to buy)	\$ 55.73							03/01/2020 ⁽¹⁰⁾	03/01/2026	Common Stock	2,000		2,000	I	By spouse ⁽⁶⁾
Non- qualified stock options (right to buy)	\$37.71							02/26/2021 ⁽¹¹⁾	02/26/2027	Common Stock	100,334		100,334	D	
Non- qualified stock options (right to buy)	\$56.25							03/19/2022 ⁽¹²⁾	03/19/2028	Common Stock	58,083		58,083	D	
Non- qualified stock options (right to buy)	\$ 70.58							02/28/2024 ⁽¹³⁾	02/28/2030	Common Stock	54,302		54,302	D	

Explanation of Responses:

1. The price reported in Column 4 of Table 1 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$67.00 to \$67.99 inclusive. The Reporting Person undertakes to provide to Merit Medical Systems, Inc., any security holder of Merit Medical Systems, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

2. The price reported in Column 4 of Table 1 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$68.00 to \$68.75 inclusive. The Reporting Person undertakes to provide to Merit Medical Systems, Inc., any security holder of Merit Medical Systems, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

3. Represents plan holdings as of 3/14/2023.

4. Becomes exercisable in equal annual installments of 20% commencing 4/14/2018.

5. Original grant of 10,000 options was to become vested and exercisable in equal annual installments of 20% commencing 4/14/2018. Options not vested as of 6/22/2020 have been forfeited.

6. Represents securities held by the spouse of the Reporting Person. The Reporting Person expressly disclaims beneficial ownership of the securities owned by his spouse.

7. Becomes exercisable in equal annual installments of 20% commencing 3/2/2019.

8. Original grant of 10,000 options was to become vested and exercisable in equal annual installments of 20% commencing 3/2/2019. Options not vested as of 6/22/2020 have been forfeited.

9. Becomes exercisable in equal annual installments of 20% commencing 3/1/2020.

10. Original grant of 10,000 options was to become vested and exercisable in equal annual installments of 20% commencing 3/1/2020. Options not vested as of 6/22/2020 have been forfeited.

11. Becomes exercisable in equal annual installments of 25% commencing 2/26/2021.

12. Becomes exercisable in equal annual installments of 25% commencing 3/19/2022.

13. Becomes exercisable in equal annual installments of 25% commencing 2/28/2024.

/s/ Brian G. Lloyd, Attorney-in-Fact 03/16/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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