SEC Form 4

 \square

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| | ess of Reporting Pe | rson* | 2. Issuer Name and Ticker or Trading Symbol MERIT MEDICAL SYSTEMS INC [MMSI | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|---|---------------------|----------|---|--|---|-----------------------|--|--|
| STANGER KENT W | | | 1 | X | Director | 10% Owner | | |
| (Last) (First) (Middle) 1600 W MERIT PARKWAY | | (Middlo) | 1 | | Officer (give title below) | Other (specify below) | | |
| | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 05/24/2019 | | ···· , | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) | idual or Joint/Group Filin | g (Check Applicable | | |
| SOUTH JORDAN | UT | 84095 | | X | Form filed by One Reporting Person | | | |
| | | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Table 1- Non-Derivative Securities Acquired, Disposed of, of Derivitiany Owned | | | | | | | | | | | | |
|--|--|---|---|--|--------|---------------------|--|---|---|---|--|--|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code V | | Amount | Amount (A) or (D) F | | Transaction(s) (Instr. 3 and 4) | | (| | |
| Common Stock, No Par Value | | | | | | | | 4,271 | D ⁽¹⁾ | | | |
| Common Stock, No Par Value | | | | | | | | 42,278 | Ι | By 401(k) plan ⁽²⁾ | | |
| Common Stock, No Par Value | | | | | | | | 29,524 | Ι | Family Limited Liability Company ⁽³⁾ | | |
| Common Stock, No Par Value | | ĺ | | | | | | 415,087 | D | | | |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
|--|---|--|---|------------------------------|---|-----|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Non- qualified stock options (right to buy) | \$12.06 | | | | | | | 10/04/2015 ⁽⁴⁾ | 10/04/2021 | Common Stock | 2,000 | | 2,000 | D | |
| Non- qualified stock options (right to buy) | \$19.72 | | | | | | | 05/02/2017 ⁽⁵⁾ | 05/02/2023 | Common Stock | 9,375 | | 9,375 | D | |
| Non- qualified stock options (right to buy) | \$18.8 | | | | | | | 05/26/2017 ⁽⁶⁾ | 05/26/2023 | Common Stock | 25,000 | | 25,000 | D | |
| Non- qualified stock options (right to buy) | \$34.4 | | | | | | | 05/24/2018 ⁽⁷⁾ | 05/24/2024 | Common Stock | 25,000 | | 25,000 | D | |
| Non- qualified stock options (right to buy) | \$50.5 | | | | | | | 06/07/2019 ⁽⁸⁾ | 06/07/2025 | Common Stock | 25,000 | | 25,000 | D | |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
|--|--|------------|--|------|-----------------------------------|--------|--|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any | | | | Transaction Code (Instr. 8) | | ber ive ies ed nstr. I 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Non- qualified stock options (right to buy) | \$52.17 | 05/24/2019 | | A | | 13,750 | | 05/24/2020 ⁽⁹⁾ | 05/24/2026 | Common Stock | 13,750 | \$0 | 13,750 | D | |

Explanation of Responses:

1. Employee stock purchase plan holdings as of 05/24/2019.

2. Represents plan holdings as of 05/24/2019.

3. This report shall not be deemed an admission that the reporting person is the beneficial owner of the securities held by K.W.S. Properties L.C.

4. Becomes exercisable in equal annual installments of 20% commencing 10/04/2015.

5. Becomes exercisable in equal annual installments of 20% commencing 05/02/2017.

6. Becomes exercisable in equal annual installments of 20% commencing 05/26/2017.

7. Becomes exercisable in equal annual installments of 20% commencing 05/24/2018.

8. Becomes exercisable in equal annual installments of 20% commencing 06/07/2019.

9. Becomes exercisable in equal annual installments of 33% commencing 05/24/2020.

Remarks:

Brian G. Lloyd, Attorney-in-Fact

05/29/2019

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.